

07-13-01

A

ic962 U.S. PRO

Practitioner's Docket No. 685.01-US1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231**

J1046 U.S. PTO
09/904256
07/11/01

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): William F. Sherman
For (title): PDA SPEECH RECOGNITION

1. Type of Application

This transmittal is for an original (nonprovisional) application.

CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10*

*(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)*

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- [] deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. Section 1.10*

- with sufficient postage as first class mail.

as "Express Mail Post Office to Address
Mailing Label No. **EL826038915US**

TRANSMISSION

- [] transmitted by facsimile to the Patent and Trademark Office (703)

Date: 9/11/01

Erika F. Simpson

(New Application Transmittal--page 1 of 14)

2. Papers Enclosed

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application

22 Page(s) of Specification

3 Page(s) of Claims

5 Sheet(s) of Drawing(s)--Formal

B. Other Papers Enclosed

1 Page(s) of abstract

3. Declaration or Oath

Not Enclosed.

Application is made by a person authorized under 37 C.F.R. Section 1.41(c) on behalf of the above-named inventor.

4. Inventorship Statement

The inventorship for all the claims in this application is the same.

5. Language

English

6. Fee Calculation (37 C.F.R. Section 1.16)

Regular Application

CLAIMS AS FILED

Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$710.00
<hr/>					
Total Claims (37 CFR 1.16(c))	18	- 20 =	0 x	\$18.00	\$0.00
<hr/>					
Independent Claims (37 CFR 1.16(b))	4	- 3 =	0 x	\$80.00	\$80.00
<hr/>					
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$270.00	\$0.00
<hr/>					
Filing Fee Calculation					\$790.00

7. Small Entity Statement(s)

Statement that this is a filing by a small entity under 37 C.F.R. Sections 1.9 and 1.27 is attached.

Filing Fee Calculation (50% of above)	\$395.00
---------------------------------------	----------

8. Request for International--Type Search (37 C.F.R. Section 1.104(d))

Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

9. Fee Payment Being Made at This Time

Enclosed

Filing Fee	\$395.00
Fee for international-type search report (\$40; 37 C.F.R. Section 1.21(e))	\$40.00
Total Fees Enclosed	\$435.00

10. Method of Payment of Fees

Check in the amount of \$395.00 is attached.

11. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 500341.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)
37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)
37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to SECTION 1.136(a))
37 C.F.R. Section 1.17 (application processing fees)

12. Instructions as to Overpayment

Credit Account No. 500341.

**ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF
PRIOR U.S. APPLICATION CLAIMED**

13. Maintenance of Copendency of Prior Application

A. Conditional Petition for Extension of Time in Prior Application

A conditional petition for extension of time is being filed in the pending prior application.

14. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

a. This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor in this application is the same.

15. Small Entity (37 C.F.R. Section 1.28(a))

Applicant has established small entity status by the filing of a statement in parent application 60/217365 on July 11, 2000.

Date: 7/11/01



Robert D. Fish
Registration No. 33880
Fish & Associates, LLP
1440 N. Harbor Blvd., Suite 706
Fullerton, CA 92835
714-449-2337
Customer No. 24392